

COVID-19 vaccines: Safety Surveillance Manual

Module: Introduction

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1 Background

2 On 30 January 2020, the World Health Organization (WHO) declared that the outbreak due to a novel
3 coronavirus, SARS-CoV-2, also known as COVID-19, is a public health emergency of international concern
4 (PHEIC). By 12 March 2020, due to its rapid global spread, the outbreak was declared a pandemic. The
5 global pandemic has already caused the loss of more than one million of lives and disrupted the lives of
6 billions more.

7 One key and critical strategy in containing this pandemic is the rapid development of safe and effective
8 vaccines. Unprecedented efforts are being made to develop large number of vaccines simultaneously in
9 a short time. Global equitable access to a vaccine, particularly protecting health care workers and those
10 most-at-risk is one of the key strategies to mitigate the public health and economic impact of the
11 pandemic.

12 The [Access to COVID-19 Tools \(ACT\) Accelerator](#) was launched at the end of April 2020 as a global
13 collaboration to accelerate development, production, and equitable access to COVID-19 tests,
14 treatments, and vaccines. It brings together governments, scientists, businesses, civil society, and
15 philanthropists and global health organizations (the Bill & Melinda Gates Foundation, CEPI, FIND, Gavi,
16 The Global Fund, Unitaid, Wellcome, WHO, and the World Bank). The [COVAX Facility](#) offers participant
17 countries secure access to safe and effective COVID-19 vaccines through its actively managed portfolio of
18 vaccine candidates across a broad range of technologies. Its goal is to ensure equitable access to
19 vaccines to all economies and ensure that income is not a barrier to access. The initial aim is to have 2
20 billion doses of vaccine available by the end of 2021.

21 The 42nd Global Advisory Committee on Vaccine Safety (GACVS) [virtual meeting on 27–28 May 2020](#)
22 addressed pharmacovigilance preparedness for the launch of the future COVID-19 vaccines. One of their
23 recommendations was that infrastructure and capacity for surveillance of the safety of COVID-19
24 vaccines should be in place in all countries and existing infrastructure be reactivated and engaged before
25 a vaccine is introduced. This will require local, national, regional and global collaboration. Countries
26 should include preparedness plans for COVID-19 vaccine safety in their overall plans for vaccine
27 introduction, building on WHO guidance. This COVID-19 vaccine safety guidance manual has been
28 developed upon recommendation and guidance of GACVS members, as well as by experts incorporating
29 current and available information critical to all stakeholders when COVID-19 vaccines will be introduced.

30 Lessons learnt from novel vaccine introduction during pandemic and 31 epidemic emergencies

32 Key lessons learnt from past situations where new vaccines have been introduced in response to
33 pandemic and epidemic emergencies been included in this guidance. For example, the 2009 H1N1
34 influenza pandemic demonstrated that few countries had a pandemic preparedness plan that

35 comprehensively addressed vaccine deployment and monitoring of adverse events.^{1,2} When adverse
36 events were reported, the existing systems were unable to confirm or deny the association of the events
37 with H1N1 vaccination leading to lack of confidence in H1N1 vaccination which was challenging for
38 communication.^{3,4}

39 The 2014-2016 Ebola epidemic that affected three countries in West Africa led to an accelerated
40 development of vaccines and therapeutics. The African Vaccine Regulatory Forum, a regional network of
41 regulators and ethics committees, working closely with regulators from other parts of the world helped
42 reviews of clinical trial protocols and results, joint monitoring of trials and joint authorization and
43 deployment of vaccines.^{5,6} Such models can be used to guide pharmacovigilance reliance for the
44 deployment of COVID-19 vaccines, particularly in low-to-middle income countries with limited resources.

45 The introduction of the first licensed dengue vaccine, while not in the context of an international public
46 health emergency, illustrated a number of lessons for the pharmacovigilance of novel vaccines,
47 particularly the observed immune-enhanced disease which could be potentially associated with some of
48 the COVID19 vaccine candidates being developed.^{7,8}

49 A common theme in these examples is the public concerns about the safety of the novel vaccines and
50 rumours that can arise during the current and future pandemics and the need for programme managers
51 to be ready to address them through appropriate vaccine safety surveillance and communication
52 strategies.

53 Objectives of this manual

54 The objectives of this manual are:

- 55 • to provide an overview of COVID-19 vaccines likely to be available and their characteristics;
- 56 • to identify the safety implications for the potential priority populations and immunization
- 57 strategies;

¹ WHO. Main operational lessons learnt from the WHO pandemic influenza A(H1N1) vaccine deployment initiative. Available from: <https://apps.who.int/iris/handle/10665/44711>. Accessed 26 October 2020.

² European Medicines Agency. Pandemic report and lessons learned: outcome of the European Medicines Agency's activities during the 2009 (H1N1) flu pandemic. Available from: https://www.ema.europa.eu/documents/report/pandemic-report-lessons-learned-outcome-european-medicines-agencys-activities-during-2009-h1n1-flu_en.pdf. Accessed 26 October 2020.

³ Sturkenboom MC. The narcolepsy-pandemic influenza story: can the truth ever be unravelled? *Vaccine*. 2015;33(Suppl 2):B6-B13

⁴ Ropero-Álvarez AM, Whittembury A, Bravo-Alcántara P, Kurtis HJ, Danovaro-Holliday MC, Velandia-González M. Events supposedly attributable to vaccination or immunization during pandemic influenza A (H1N1) vaccination campaigns in Latin America and the Caribbean. *Vaccine*. 2015 Jan 1;33(1):187-92. doi: 10.1016/j.vaccine.2014.10.070.

⁵ Akanmori B et al. The African Vaccine Regulatory Forum (AVAREF): A platform for collaboration in a public health emergency. *WHO Drug Information* 2015;29:127-132.

⁶ Kieny MP, Rågo L. Regulatory policy for research and development of vaccines for public health emergencies, *Expert Review of Vaccines* 2016;15:1075-1077. DOI: 10.1080/14760584.2016.1188695.

⁷ Flasche S, Wilder-Smith A, Hombach J, Smith PG. Estimating the proportion of vaccine-induced hospitalized dengue cases among Dengvaxia vaccinees in the Philippines. *Wellcome Open Res*. 2019 Oct 31;4:165. doi: 10.12688/wellcomeopenres.15507.1.

⁸ Dayrit MM, Mendoza RU, Valenzuela SA. The importance of effective risk communication and transparency: lessons from the dengue vaccine controversy in the Philippines. *J Public Health Policy*. 2020 Sep;41(3):252-267. doi: 10.1057/s41271-020-00232-3.

- 58 • to identify all stakeholders, including vaccine marketing authorisation holders, and provide
- 59 guidance on how they can collaborate to ensure the transparent collection, analyses and
- 60 sharing of COVID-19 vaccine safety data
- 61 • to define the elements of COVID-19 vaccine pharmacovigilance preparedness and to identify
- 62 current capacities and gaps in countries;
- 63 • to provide guidance for enhancing and harmonizing vaccine safety surveillance systems, to
- 64 guide processes for collecting, analysing and sharing safety data and information, including
- 65 data management systems;
- 66 • to support evidence-based programmatic decisions related to COVID-19 vaccines;
- 67 • to provide guidance to support vaccine safety communication during COVID-19 pandemic.

68 **Intended audience**

69 This manual provides relevant guidance prior to, during and after COVID-19 vaccine introduction for
70 governments, global, regional and national staff from immunization programmes, regulatory authorities,
71 partners and pharmacovigilance centres as well as vaccine manufacturers and marketing authorisation
72 holders.

73 **Organization of the manual**

74 This manual has been developed on the principles described in the Global vaccine safety blueprint⁹, the
75 WHO's Global manual on surveillance of adverse events following immunization¹⁰ and the CIOMS guide
76 to active vaccine safety surveillance.¹¹

77 For ease of use the manual has been divided into nine modules (see below) which can be consulted
78 individually. The modules contain hyperlinks to relevant sections of other modules.

79 Given the rapidly evolving landscape, the modules will be updated as frequently as needed. For this
80 reason, only an electronic version will be made available online, with links to appropriate reference
81 documents and regular updates to incorporate new information and evidence as the COVID-19 vaccines
82 are deployed. Each module will be linked to a slide deck that can be used for training purposes.

83 **Scope of the manual**

84 The modules included in this manual are:

85 **COVID-19 vaccines: description and general safety considerations for implementation**

86 This module outlines the different COVID-19 vaccines that are being developed, their platforms,
87 technologies, development and licensing status, and their unique safety features and potential risks. It

9 WHO. Global vaccine safety blueprint 2.0 (GVS2.0). Available from: https://www.who.int/vaccine_safety/gvs_blueprint-consultation/en/. Accessed 26 October 2020.

¹⁰ WHO. Global manual on surveillance of adverse events following immunization. Available from: https://www.who.int/vaccine_safety/publications/aefi_surveillance/en/. Accessed 26 October 2020.

¹¹ CIOMS guide to active vaccine safety surveillance. Available from: <https://cioms.ch/publications/product/cioms-guide-to-active-vaccine-safety-surveillance/>. Accessed 26 October 2020.

88 also highlights the safety implications for implementing immunization programmes for priority target
89 populations.

90 [Stakeholders for safety surveillance of COVID-19 vaccines](#)

91 This module lists the various stakeholders, their roles and responsibilities in COVID-19 vaccine safety
92 surveillance and pharmacovigilance, at the global, regional and national levels. It also provides guidance
93 on how the stakeholders could collaborate to ensure the efficient handling of COVID-19 vaccine safety
94 surveillance and pharmacovigilance.

95 [Establishing COVID-19 vaccine safety surveillance systems](#)

96 This module provides a list of the minimum requirements that should be in place to effectively monitor
97 and manage COVID-19 vaccine safety issues and the resources required at global, regional and national
98 levels in terms of tools, techniques, technologies and guidance. It defines what is meant by
99 pharmacovigilance preparedness, and provides guidance for the measurement of preparedness,
100 planning and prioritization.

101 [Responding to adverse events following immunization](#)

102 This module outlines the minimum approaches that countries should have in place for responding to
103 adverse events following COVID-19 immunization also the additional approaches that countries with
104 more resources can undertake. It describes the practical differences for establishing COVID-19 vaccine
105 safety surveillance system based on the types of vaccine platforms, different population profiles,
106 handling high levels of reporting and the need to anticipate new events.

107 [Responding to adverse events of special interest](#)

108 This module introduces the concept of adverse events of special interest which is a novel entity for many
109 countries and regulatory agencies. It provides guidance on the selection and definition of these events.
110 The need to prepare data on background rates of adverse events of special interest is emphasized.

111 [Data management systems for COVID-19 vaccine safety surveillance](#)

112 The module describes the different approaches and options available to collect data using the tools
113 available (some of which are still under development), the routing, timelines and the activities to be
114 done at various levels when processing the data and generating information for action. It presents an
115 overview of the approaches undertaken by countries and their efforts to share vaccine safety and
116 pharmacovigilance data. Guidance is provided to show how indicators to measure the functionality of
117 data management systems and the quality of the pharmacovigilance could help programme managers at
118 national, province and district levels.

119 [Engaging with the pharmaceutical industry on COVID-19 vaccine safety](#)

120 This module describes the essential role played by the private sector, particularly the pharmaceutical
121 industry, in the development and introduction of vaccines, as well as in on-going pharmacovigilance
122 activities to ensure efficacy, quality and safety throughout the vaccines' life cycle. The module will
123 provide guidance on transparent collaboration between the public and private sectors to ensure the safe
124 and effective deployment of COVID-19 vaccines.

125 [Regulatory reliance and work-sharing](#)

126 This module provides definitions of regulatory reliance and work-sharing and presents some examples of
127 how these approaches have been used. How these approaches could be used for developing COVID-19
128 vaccine safety surveillance systems, particularly in resource-poor settings, are outlined.

129 [COVID-19 vaccine safety communication](#)

130 This module provides guidance on communicating about COVID-19 vaccine safety from a programme
131 perspective. It includes a description of factors that influence people's perceptions of vaccine safety;
132 case studies of past experiences with previous pandemics or vaccine safety issues; a synthesis of
133 evidence and recommendations for communication from risk communication; hypothetical scenarios
134 that apply these recommendations to the COVID-19 vaccine context; and criteria for prioritising
135 responses to vaccine safety issues.